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Access DB# 109983

# SEARCH REQUEST FORM

Scientific and Technical Information Center
Scientific and Technical Information Center DEC -8 2003
Requester's Full Name: ABIHA GATE Examiner #: 74141 Date: 1/12/83
TO THE PROPERTY OF THE PROPERT
Mail Box and Bldg/Room Location: 20/9-Results Format Preferred (circl): PAPER DISK E-MAIL
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If more than one search is submitted, please prioritize searches in order of need
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Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched.
known. Please attach a copy of the cover sheet, pertinent claims, and abstract.
Tillaction has to be the the standard and the
Title of Invention: Agents for the schanced oxygen delivery -
Inventors (please provide full names): Lehn Jean - Marie
Earliest Priority Filing Date: 811 Class 1995.
Earliest Priority Filing Date: 8/15/003 1999. 371 of 10/usoo/22583
*For Sequence Searches Only * Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the
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=> fil reg FILE 'REGISTRY' ENTERED AT 16:55:58 ON 08 DEC 2003 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2003 American Chemical Society (ACS)

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STRUCTURE FILE UPDATES: 7 DEC 2003 HIGHEST RN 624286-58-4
DICTIONARY FILE UPDATES: 7 DEC 2003 HIGHEST RN 624286-58-4

TSCA INFORMATION NOW CURRENT THROUGH JULY 14, 2003

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Crossover limits have been increased. See HELP CROSSOVER for details.

Experimental and calculated property data are now available. For more information enter HELP PROP at an arrow prompt in the file or refer to the file summary sheet on the web at: http://www.cas.org/ONLINE/DBSS/registryss.html

NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 11

STEREO ATTRIBUTES: NONE

L19 35097 SEA FILE=REGISTRY SSS FUL L17

100.0% PROCESSED 122111 ITERATIONS SEARCH TIME: 00.00.13

35097 ANSWERS

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SEL RN

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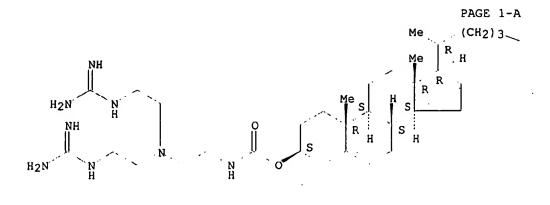
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L3 2 S L2 AND C5-C6-C6/ES AND N/ELS

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L4 2 S E3

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              2 S E1-E2/CRN
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L26
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                E NICOLAU Y/AU
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             24 S E3, E6, E7
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L28
             89 S E3-E39
L29
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L30
L31
              1 S L30 AND L8
              1 S L30 AND L12
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L33
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             10 S L30-L33
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L35
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=> d ide can tot 17
     ANSWER 1 OF 4 REGISTRY COPYRIGHT 2003 ACS on STN
L7
     182056-15-1 REGISTRY
RN
     Cholest-5-en-3-ol (3\beta)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]ami
CN
     no]ethyl]carbamate, dihydrochloride (9CI) (CA INDEX NAME)
FS
     STEREOSEARCH
MF
     C36 H66 N8 O2 . 2 Cl H
SR
     CA
     STN Files: CA, CAPLUS, USPATFULL
LC-
CRN (182056-06-0)
```



●2 HC1

PAGÉ 1-B

CHMe2

2 REFERENCES IN FILE CA (1907 TO DATE)
2 REFERENCES IN FILE CAPLUS (1907 TO DATE)

REFERENCE 1: 127:234484

REFERENCE 2: 125:239451

L7 ANSWER 2 OF 4 REGISTRY COPYRIGHT 2003 ACS on STN

RN 182056-12-8 REGISTRY

FS STEREOSEARCH

MF C37 H67 N7 O2 . 2 C1 H

SR CA

LC STN Files: CA, CAPLUS, USPATFULL

CRN (182055-89-6)

## ●2 HCl

2 REFERENCES IN FILE CA (1907 TO DATE)
2 REFERENCES IN FILE CAPLUS (1907 TO DATE)

REFERENCE 1: 127:234484

REFERENCE 2: 125:239451

L7 ANSWER 3 OF 4 REGISTRY COPYRIGHT 2003 ACS on STN

RN 182056-06-0 REGISTRY

CN Cholest-5-en-3-ol (3β)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate (9CI) (CA INDEX NAME)

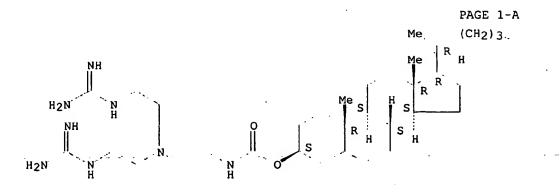
FS STEREOSEARCH

MF C36 H66 N8 O2

CI COM

SR CA

LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL



PAGE 1-B

CHMe2

## \*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

9 REFERENCES IN FILE CA (1907 TO DATE)

9 REFERENCES IN FILE CAPLUS (1907 TO DATE)

REFERENCE 1: 139:255843

REFERENCE 2: 137:389091

REFERENCE 3: 136:319345

REFERENCE 4: 134:212794

REFERENCE 5: 131:106801

REFERENCE 6: 131:54460

REFERENCE 7: 130:57047

REFERENCE 8: 126:258428

REFERENCE 9: 125:239451

L7 ANSWER 4 OF 4 REGISTRY COPYRIGHT 2003 ACS on STN

RN 182055-89-6 REGISTRY

CN Cholest-5-en-3-ol (3β)-, [4-[(aminoiminomethyl)amino]butyl][3-[(aminoiminomethyl)amino]propyl]carbamate (9CI) (CA INDEX NAME)

FS STEREOSEARCH

MF C37 H67 N7 O2

CI COM

SR CA

LC STN Files: CA, CAPLUS, TOXCENTER

#### \*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\* 2 REFERENCES IN FILE CA (1907 TO DATE) 2 REFERENCES IN FILE CAPLUS (1907 TO DATE) REFERENCE 1: 134:212794 REFERENCE 2: 125:239451 => fil uspatall FILE 'USPATFULL' ENTERED AT 16:56:21 ON 08 DEC 2003 CA INDEXING COPYRIGHT (C) 2003 AMERICAN CHEMICAL SOCIETY (ACS) FILE 'USPAT2' ENTERED AT 16:56:21 ON 08 DEC 2003 CA INDEXING COPYRIGHT (C) 2003 AMERICAN CHEMICAL SOCIETY (ACS) => d bib abs hitstr tot 135 L35 ANSWER 1 OF 5 USPATFULL on STN 2002:88021 USPATFULL AN ΤI Stabilization of lipid: DNA formulations during nebulization Densmore, Jr., Charles L., The Woodlands, TX, United States IN Knight, J. Vernon, Houston, TX, United States Waldrep, J. Clifford, The Woodlands, TX, United States Kinsey, Berma M., Houston, TX, United States Research Development Foundation, Carson City, NV, United States (U.S. PA corporation) US 6375980 PT R1 20020423 US 1999-356635 19990719 (9) AΤ Continuation-in-part of Ser. No. US 1999-227648, filed on 8 Jan 1999, RLT now patented, Pat. No. US 6106859, issued on 22 Aug 2000 19980108 (60) PRAI US 1998-71052P DT Utility FS GRANTED EXNAM Primary Examiner: Schwartzman, Robert A.; Assistant Examiner: Schnizer, Richard LREP Adler, Benjamin Aaron CLMN Number of Claims: 9 Exemplary Claim: 4 ECL 22 Drawing Figure(s); 22 Drawing Page(s) DRWN LN.CNT 1140 CAS INDEXING IS AVAILABLE FOR THIS PATENT. The present invention provides a liposomal aerosol composition, comprising a pharmaceutical compound, a cationic lipid, a neutral co-lipid; and tryptone. Also provided is a nebulized cationic lipid: neutral co-lipid: DNA suspension useful for lipid-DNA transfections, wherein the cationic lipid is bis(guanidinium)-tren-cholesterol and the neutral co-lipid is dioleoylphosphatidylethanolamine (DOPE). CAS INDEXING IS AVAILABLE FOR THIS PATENT. IT 182056-06-0 (liposomes containing; stabilization of lipid: DNA formulations during nebulization) 182056-06-0 USPATFULL RN

Cholest-5-en-3-ol  $(3\beta)$ -, [2-[bis[2-(aminoiminomethyl)amino]ethyl]amino]ethyl]amino

Absolute stereochemistry.

no]ethyl]carbamate (9CI) (CA INDEX NAME)

CN

PAGE 1-B

CHMe2

gene therapy)

INDEX NAME)

182056-12-8 USPATFULL

RN

CN

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L35
    ANSWER 2 OF 5
                    USPATFULL on STN
       2001:202605
                    USPATFULL
AN
       Compounds related to the amidinium family, pharmaceutical compositions
ΤI
       containing same, and uses thereof
IN
       Lehn, Jean-Marie, Strasbourg, France
       Lehn, Pierre, Paris, France
       Vigneron, Jean-Pierre, Boissy-sur-Saint-Yon, France
       Centre National de la Recherche Scientifique, Paris, France (non-U.S.
PA
       corporation)
                               20011113
ΡI
       US 6316422
                          Bl
ΑI
       US 2000-706619
                               20001106 (9)
       Continuation of Ser. No. US 125825, now patented, Pat. No. US 6143729
RLI
PRAI
       FR 1996-2604
                           19960301
                           19960730
       FR 1996-9557
DΤ
       Utility
FS
       GRANTED
       Primary Examiner: LeGuyader, John L.; Assistant Examiner: Epps, Janet L.
EXNAM
LREP
       Synnestvedt & Lechner LLP
CLMN
       Number of Claims: 14
ECL
       Exemplary Claim: 1
DRWN
       16 Drawing Figure(s); 8 Drawing Page(s)
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
AB
       Novel amidinium derivatives of formula (I), wherein R1 is a cholesterol
       derivative or an alkylamino-NR'R" grouping, and each of R2 and R3 is
       independently a hydrogen atom or a grouping of formula (II), wherein
       each of R4 and R5 is independently a hydrogen atom or a grouping of
       formula (III), are disclosed. The corresponding pharmaceutical
       compositions, which are particularly useful in gene therapy for
       transferring therapeutic genes into cells, are also disclosed. ##STR1##
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
   182056-12-8P 182056-15-1P
        (preparation of compds. related to the amidinium family and their uses in
```

Cholest-5-en-3-ol  $(3\beta)$ -,  $\{4-\{(aminoiminomethyl)amino\}butyl\}$  [3-

[(aminoiminomethyl)amino]propyl]carbamate, dihydrochloride (9CI)

Absolute stereochemistry.

●2 HCl

Absolute stereochemistry.

●2 HC1

PAGE 1-B

CHMe2

L35 ANSWER 3 OF 5 USPATFULL on STN

AN 2001:168815 USPATFULL

TI Alignment mechanism for computer system having a portable computer and

docking station

IN Helot, Jacques H., San Mateo, CA, United States

PA Hewlett-Packard Company, Palo Alto, CA, United States (U.S. corporation)

PI US 6297953 B1 20011002 AI US 1998-71052 19980430 (9)

DT Utility FS GRANTED

EXNAM Primary Examiner: Picard, Leo P.; Assistant Examiner: Lea-Edmonds, Lisa

LREP Rose, Curtis G.
CLMN Number of Claims: 6
ECL Exemplary Claim: 1

DRWN 8 Drawing Figure(s); 8 Drawing Page(s)

LN.CNT 350

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

A computer system has a docking station and a portable computer. The AB docking station has a platform and a housing having a docking connector. The platform has one or more elevated rails. The portable computer has a computer connector, a base unit having a top portion and a bottom portion, a display unit connected to the top portion of said base unit, and one or more recessed grooves on the bottom portion of the base unit. The elevated rail or rails on the docking station interact with the recessed groove or grooves on the portable computer to guide the portable computer into a proper alignment with the housing of the docking station when the portable computer is placed on the platform and slid towards the housing so that the computer connector lines up with and connects to the docking connector. The docking station platform may have side walls or rotatable bumpers on the sides of the platform to provide coarse alignment between the docking station and the portable computer, and to prevent the portable computer from sliding off the platform during the alignment process. Preferably, the recessed groove or grooves are flared at the back edge of the portable computer to further assist in the alignment of the portable computer with the docking station. The docking station of the preferred and alternate embodiments of the invention can accommodate portable computers of different form factors and thus do not need to be replaced each time a new model of a personal computer is released with a different form factor.

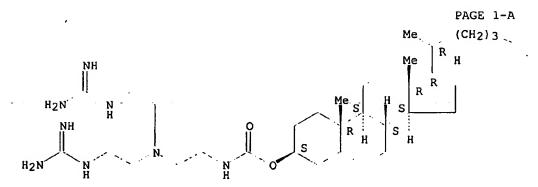
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

IT 182056-06-0

(stabilization of lipid:DNA formulations during nebulization for gene-therapy transfection)

RN 182056-06-0 USPATFULL

CN Cholest-5-en-3-ol (3β)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]ami no]ethyl]carbamate (9CI) (CA INDEX NAME)



#### PAGE 1-B

`CHMe2

```
L35 ANSWER 4 OF 5 USPATFULL on STN
       2000:150149 USPATFULL
AN
ΤI
       Compounds related to the amidinium family, pharmaceutical compositions
       containing same, and uses thereof
       Lehn, Jean-Marie, Strasbourg, France
       Lehn, Pierre, Paris, France
       Vigneron, Jean-Pierre, Boissy-sur-Saint-Yon, France
       Aventis Pharma S.A., Antony, France (non-U.S. corporation)
PA
                               20001107
PΙ
       US 6143729
       WO 9731935 19970904
       US 1998-125825
                               19980911 (9)
ΑI
                               19970228
       WO 1997-FR364
                                         PCT 371 date
                               19980911
                               19980911 PCT 102(e) date
PRAI
       FR 1996-2604
                           19960301
       FR 1996-9557
                           19960730
DT
       Utility
       Granted
FS
       Primary Examiner: Elliott, George C.; Assistant Examiner: Epps, Janet
EXNAM
       Synnestvedt & Lechner LLP
CLMN
       Number of Claims: 32
ECL
       Exemplary Claim: 1
DRWN
       16 Drawing Figure(s); 8 Drawing Page(s)
LN.CNT 1044
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       Novel amidinium derivatives of formula (I), wherein R1 is a cholesterol
AB
       derivative or an alkylamino-NR'R" grouping, and each of R2 and R3 is
       independently a hydrogen atom or a grouping of formula (II), wherein
       each of R4 and R5 is independently a hydrogen atom or a grouping of
       formula (III), are disclosed. The corresponding pharmaceutical
       compositions, which are particularly useful in gene therapy for
       transferring therapeutic genes into cells, are also disclosed. ##STR1##
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
IT 182056-12-8P 182056-15-1P
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
RN
     182056-12-8 USPATFULL
CN
     Cholest-5-en-3-ol (3\beta)-, [4-[(aminoiminomethyl)amino]butyl][3-
       [(aminoiminomethyl)amino]propyl]carbamate, dihydrochloride (9CI)
       INDEX NAME)
       Absolute stereochemistry.
```

●2 HC1

Absolute stereochemistry.

●2 HCl

PAGE 1-B

CHMe2

L35 ANSWER 5 OF 5 USPATFULL on STN

AN 2000:109365 USPATFULL

TI Stabilization of lipid:DNA formulations during nebulization

IN Densmore, Jr., Charles L., 83 S. Copper Sage Cr., The Woodlands, TX,
 United States 77381

Knight, J. Vernon, 29 Lana La., Houston, TX, United States 77027 Waldrep, J. Clifford, 6 Wind Trace Ct., The Woodlands, TX, United States 77381

Kinsey, Berma M., 3702 Elmore St., Houston, TX, United States 77005

PI US 6106859 20000822

AI US 1999-227648 19990108 (9)

PRAI US 1998-71052P 19980108 (60) DT Utility

DT Utility FS Granted

EXNAM Primary Examiner: Campell, Bruce R.; Assistant Examiner: Schnizer, Richard

LREP Adler, Benjamin Aaron

CLMN Number of Claims: 3 ECL Exemplary Claim: 1

DRWN 5 Drawing Figure(s); 5 Drawing Page(s)

LN.CNT 475

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention provides a liposomal aerosol composition, comprising a pharmaceutical compound, a cationic lipid, (c) a neutral co-lipid; and (d) tryptone. Also provided is a nebulized cationic lipid:DNA suspension useful for lipid-DNA transfections, wherein said cationic lipid is bis(guanidinium)-tren-cholesterol.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

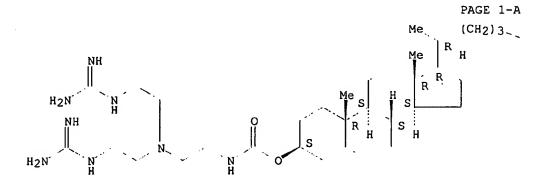
IT 182056-06-0

(stabilization of lipid:DNA formulations during nebulization for gene-therapy transfection)

RN 182056-06-0 USPATFULL

CN Cholest-5-en-3-ol (3β)-, {2-[bis[2-[(aminoiminomethyl)amino]ethyl]ami no]ethyl]carbamate (9CI) (CA INDEX NAME)

Absolute stereochemistry.



PAGE 1-B

CHMe2

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FILE COVERS 1907 - 8 Dec 2003 VOL 139 ISS 24 FILE LAST UPDATED: 7 Dec 2003 (20031207/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

#### => d all hitstr tot 134

- L34 ANSWER 1 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN
- AN 2003:399692 HCAPLUS
- DN 139:255843
- ED Entered STN: 27 May 2003
- TI Gene therapy for hepatocellular carcinoma using non-viral vectors composed of bis guanidinium-tren-cholesterol and plasmids encoding the tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3
- AU Tran, Phuong-Lan; Vigneron, Jean-Pierre; Pericat, David; Dubois, Sylvie; Cazals, Dominique; Hervy, Martial; DeClerck, Yves A.; Degott, Claude; Auclair, Christian
- CS LBPA, CNRS-UMR 8532, Ecole Normale Superieure, Cachan, 94235, Fr.
- SO Cancer Gene Therapy (2003), 10(6), 435-444 CODEN: CGTHEG; ISSN: 0929-1903
- PB Nature Publishing Group
- DT Journal
- LA English
- CC 3-1 (Biochemical Genetics)
  Section cross-reference(s): 1, 14
- AB Metalloproteinases (MMPs) and their natural inhibitors (TIMPs) contribute to the regulation of tumor microenvironment. Their expressions are deregulated in almost all human cancers. We report a novel approach to gene therapy of hepatocellular carcinoma (HCC), using repeated injections of DNA plasmids encoding the tissue inhibitors of metalloproteinases (TIMPs) TIMP-2 or TIMP-3, and a novel competent formulation of gene transfer based on nontoxic cationic cholesterol derivs. The new gene delivery system was efficient in demonstrating the antitumor efficiency of TIMP-2 or TIMP-3 in inhibiting tumor growth of human HuH7 HCC cells xenografted into nude mice. We show, for the first time, an in vivo effect of TIMP-3 in delaying HCC tumor growth. No treatment-related toxicity was noted. An inhibition of angiogenesis and tumor necrosis accompanied the inhibitory effects of TIMP-2 or TIMP-3 on tumor expansion and invasion. We also report a bystander effect produced by transfected HuH7 tumor cells mixed with untransfected cells in 1:1 ratio in culture that resulted in killing 98% of cells within 96 h. In addition, the soluble forms of TIMP-2 and TIMP-3 expressed by transfected cells exerted a -cytotoxic-effect on untransfected-HuH7 cell cultures. Taken together  $\mu$ these results demonstrate the potential efficacy of repeated treatment of secreted TIMP-2 and TIMP-3 for the design of nonviral gene therapy for hepatocarcinoma.
- ST gene therapy hepatocellular carcinoma lipoplex vector TIMP2 TIMP3; tissue inhibitor m talloproteinase gene therapy hepatocarcinoma; bis guanidinium tr n cholesterol lipoplex vector
- IT Antitumor agents

Gene therapy Plasmid vectors (gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3) Human Mouse (gene therapy of human hepatocellular carcinoma xenografted in athymic mice)

TΤ Liver, neoplasm

IT

(hepatoma; gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)

ΙT Drug delivery systems

(liposomes; gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)

ፐጥ

Transduction, genetic
(with BGTC vectors; gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)

IT 124861-55-8, Tissue inhibitor metalloproteinase-2 145809-21-8, Tissue inhibitor of metalloproteinase-3

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gene for; gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)

IT 182056-06-0

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)

RE.CNT THERE ARE 44 CITED REFERENCES AVAILABLE FOR THIS RECORD RE

- (1) Ahonen, M; Cancer Res 1998, V58, P2310 HCAPLUS
- (2) Ahonen, M; Mol Ther 2002, V5, P705 HCAPLUS (3) Amour, A; FEBS Lett 1998, V435, P39 HCAPLUS
- (4) Amour, A; FEBS Lett 2000, V473, P275 HCAPLUS
- (5) Anderson, S; Clin Cancer Res 1998, V4, P1649 HCAPLUS
- (6) Baker, A; Br J Cancer 1999, V79, P1347 HCAPLUS(7) Bao, J; Hum Gene Ther 1996, V7, P355 HCAPLUS
- (8) Bond, M; J Biol Chem 2000, V52, P4358
- (9) Boudreau, N; Curr Opin Cell Biol 1998, V10, P640 HCAPLUS
- (10) Brand, K; Cancer Res 2000, V60, P5273
- (11) Breedis, C; Am J Pathol 1954, V30, P969
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- 182056-06-0 IT
  - RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (gene therapy for hepatocellular carcinoma using BGTC-DOPE lipoplex vectors and plasmids encoding tissue inhibitors of metalloproteinases TIMP-2 and TIMP-3)
- 182056-06-0 HCAPLUS RN
- Cholest-5-en-3-ol  $(3\beta)$ -, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]CN no]ethyl]carbamate (9CI) (CA INDEX NAME)

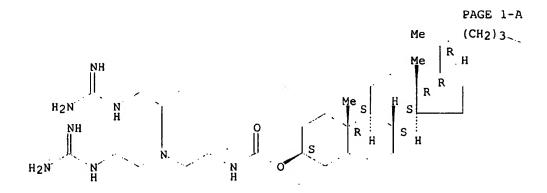
### Absolute stereochemistry.

PAGE 1-B

## CHMe<sub>2</sub>

- ANSWER 2 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN L34
- 2002:311301 **HCAPLUS** AN
- DN 137:389091
- ED Entered STN: 25 Apr 2002
- Various cationic carriers for in vitro transfection of tumor and TI endothelial cell lines
- Zemlinska, Barbara; Sochanik, Aleksander; Missol-Kolka, Ewa; Szala, ΑU Stanislaw
- CS Department of Molecular Biology, Center of Oncology-Maria Sklodowska-Curie Memorial Institute, Gliwice, 44-101, Pol.
- so Acta Biochimica Polonica (2002), 49(1), 285-290 CODEN: ABPLAF; ISSN: 0001-527X
- PB Polish Biochemical Society
- DT Journal

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LA
     English
CC
     63-5 (Pharmaceuticals)
     Section cross-reference(s): 3
     We compared the efficiency of in vitro DNA transfer into selected tumor
     and endothelial cell lines using complexes of plasmid DNA and cationic
     carriers: DDAB/DOPE, DC-Chol/DOPE, Arg-Chol/DOPE, Gly-Chol/DOPE,
     Arg-Gly-Chol/DOPE, BGTC/DOPE, and PEI. The best carriers for transfecting
     the majority of tested cells lines at optimized carrier-to-DNA weight ratios
     were PEI and BGTC/DOPE.
ST
     tumor endothelium transfection plasmid DNA cationic carrier
IT
     Bladder, neoplasm
        (carcinoma; cationic carriers for transfection of tumor and endothelial
        cell lines)
     Genetic vectors
TΤ
     Human
     Melanoma
     Neoplasm
     Plasmid vectors
     Transformation, genetic
        (cationic carriers for transfection of tumor and endothelial cell
        lines)
IΤ
     Blood vessel
        (endothelium; cationic carriers for transfection of tumor and
        endothelial cell lines)
                                                        4004-05-1, DOPE
TT
     3700-67-2, Dimethyldioctadecyl ammonium bromide
                             137056-72-5 182056-06-0
                 73670-26-5
                                                        475645-85-3
     9002-98-6
     475645-86-4
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (cationic carriers for transfection of tumor and endothelial cell
        lines)
              THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD
RE.CNT
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(2) Armeanu, S; Mol Ther 2000, V1, P366 HCAPLUS
(3) Boussif, O; Proc Natl Acad Sci USA 1995, V92, P7297 HCAPLUS
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(13) Ledley, F; Hum Gene Ther 1995, V6, P1129 HCAPLUS
(14) Li, S; Gene Ther 2000, V7, P31 HCAPLUS
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    1999, P20
(20) Vigneron, J; Proc Natl Acad Sci USA 1996, V93, P9682 HCAPLUS
(21) Wicks, I; Hum Gene Ther 1995, V6, P317 HCAPLUS
     182056-06-0
_ _ RL: _THU (Therapeutic use); _BIOL (Biological study); USES _(Uses).
        (cationic carriers for transfection of tumor and endothelial cell
RN
     182056-06-0 HCAPLUS
     Cholest-5-en-3-ol (3\beta)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]ami
CN
     no]ethyl]carbamate (9CI) (CA INDEX NAME)
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PAGE 1-B

CHMe2

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L34 ANSWER 3 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN
AN
     2002:309785 HCAPLUS
DN
     136:319345
     Entered STN: 25 Apr 2002
ED
     Stabilization of lipid: DNA formulations during nebulization
TΤ
     Densmore, Charles L., Jr.; Knight, J. Vernon; Waldrep, J. Clifford;
IN
     Kinsey, Berma M.
     Research Development Foundation, USA
PA
     U.S., 33 pp., Cont.-in-part of U.S. 6,106,859.
SO
     CODEN: USXXAM
DΤ
     Patent
LA
     English
IC
     ICM A16K009-127
     ICS A16K051-00; C12N015-88
NCL
     424450000
CC
     1-1 (Pharmacology)
     Section cross-reference(s): 3
FAN.CNT 2
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                      KIND DATE
                                            APPLICATION NO.
                                                             DATE
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                            20020423
                                            US 1999-356635
                                                             19990719
PΙ
     US 6375980
                       B1
     US 6106859
                       Α
                            20000822
                                            US 1999-227648
                                                             19990108
PRAI US 1998-71052P
                       Р
                            19980108
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PI US 6375980 B1 20020423 US 1999-356635 19990719
US 6106859 A 20000822 US 1999-227648 19990108

PRAI US 1998-71052P P 19980108
US 1999-227648 A2 19990108

AB The present invention provides a liposomal aerosol composition, comprising a pharmaceutical compound, a cationic lipid, a neutral co-lipid; and tryptone.

pharmaceutical compound, a cationic lipid, a neutral co-lipid; and tryptone. Also provided is a nebulized cationic lipid: neutral co-lipid: DNA suspension useful for lipid-DNA transfections, wherein the cationic lipid is bis(guanidinium)-tren-cholesterol and the neutral co-lipid is dioleoylphosphatidylethanolamine (DOPE).

ST liposome nebulization cationic neutral lipid tryptone DNA gene therapy

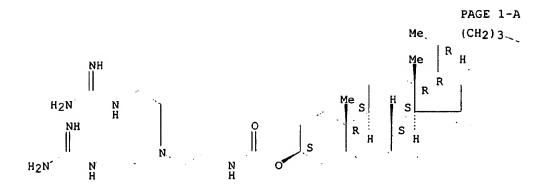
IT Gene, animal --

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (TP53, gene therapy of lung cancer with; stabilization of lipid:DNA formulations during nebulization)

IT Lipids, biological studies

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic, liposomes containing; stabilization of lipid:DNA formulations during nebulization)

```
TT
     Cystic fibrosis
     Lung, neoplasm
        (gene therapy of; stabilization of lipid: DNA formulations during
        nebulization)
IT
     Phosphatidylcholines, biological studies
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (liposomes containing egg yolk or hydrogenated soybean; stabilization of
        lipid: DNA formulations during nebulization)
ΙT
     Plasmid vectors
        (liposomes containing; stabilization of lipid: DNA formulations during
        nebulization)
ΙT
     Peptones
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (liposomes containing; stabilization of lipid: DNA formulations during
        nebulization)
ΙT
     Drug delivery systems
        (liposomes, aerosols; stabilization of lipid:DNA formulations during
        nebulization)
IT
     Lipids, biological studies
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (neutral, liposomes containing; stabilization of lipid: DNA formulations
        during nebulization)
IT
     Gene therapy
     Transformation, genetic
        (stabilization of lipid: DNA formulations during nebulization)
     63-89-8, Dipalmitoylphosphatidylcholine 4004-05-1,
     Dioleoylphosphatidylethanolamine 4235-95-4
                                                     18194-24-6,
     Dimyristoylphosphatidylcholine
                                     18194-25-7, Dilauroylphosphatidylcholine
     182056-06-0
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (liposomes containing; stabilization of lipid: DNA formulations during
       nebulization)
RE.CNT
              THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD
(1) Anon; EP 0211647 1987 HCAPLUS
(2) Carr; US 5292746 A 1994 HCAPLUS
(3) Debs; US 5641662 A 1997 HCAPLUS
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(5) Unger; US 5469854 A 1995 HCAPLUS
(6) Vigneron; Proc Nat Acad Sci USA 1996, V93, P9682 HCAPLUS
(7) Yaroush; US 5077211 A 1991 HCAPLUS
ΙT
     182056-06-0
     RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (liposomes containing; stabilization of lipid:DNA formulations during
        nebulization)
RN
     182056-06-0 HCAPLUS
     Cholest-5-en-3-ol (3\beta)-, [2-[bis[2-(aminoiminomethyl)amino]ethyl]ami
CN
     no]ethyl]carbamate (9CI) (CA INDEX NAME)
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PAGE 1-B

CHMe<sub>2</sub>

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ANSWER 4 OF 10 HCAPLUS
                               COPYRIGHT 2003 ACS on STN
ΑN
     2001:152502 HCAPLUS
     134:212794
DN
ED
     Entered STN: 02 Mar 2001
ТT
     Enhanced oxygen delivery in mammals comprising a cationic, lipophilic,
     water-soluble molecule and anionic ligand for a cellular receptor.
IN
     Nicolau, Yves Claude; Lehn, Jean-Marie
PA
     GMP Companies, Inc., USA
     PCT Int. Appl., 56 pp.
SO
     CODEN: PIXXD2
DΤ
     Patent
LA
     English
     ICM A61K038-00
IC
CC
     63-8 (Pharmaceuticals)
FAN.CNT 1
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                             DATE
                                            APPLICATION NO.
                                                              DATE
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                       A2
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                                 JP, KE,
                                          KG, KP, KR, KZ, LC, LK, LR, LS, LT,
             LU, LV,
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                             MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU,
             SD, SE,
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                     SG, SI,
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             CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                                              20000817
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     JP 2003507430
                             20030225
                       TŽ
                                           JP 2001-518070 20000817
PRAI US 1999-150574P
                       Р
                             19990825
     WO 2000-US22583
                       W
                             20000817
AB
     delivering a broad range of anionic mols. to the cytoplasm of mammalian
```

The present invention comprises compds., compns., and methods capable of cells and methods that enhance the ability of mammalian red blood cells to deliver oxygen, by delivering a ligand for the allosteric site of Hb to

the cytoplasm of the blood cells. An example was given in which red blood cells were process with dodecasodium inositol hexaphosphate. oxygen delivery Hb lipophilic ligand IT Infection (anaerobic; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) IΤ Receptors RL: BSU (Biological study, unclassified); BIOL (Biological study) (cellular; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT Alkalosis Anemia (disease) Hypoxia, animal Lipophilicity Lung, disease (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) IT RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT Hemoglobins RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) IT Heart, disease (failure; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT (gangrene; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT Heart, disease (infarction; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT Brain, disease (stroke; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) TΤ 83-86-3, Inositol hexaphosphate 17211-15-3, myo-Inositol, hexakis(dihydrogen phosphate), dodecasodium salt RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) 57-88-5, Cholesterol, biological studies 182055-89-6 ΙT 182056-06-0 RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) ΙT 7782-44-7, Oxygen, biological studi s RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.) 630-08-0, Carbon monoxide, 57-12-5, Cyanide, biological studies

10102-43-9, Nitric oxide, biological studies

biological studies

RL: ADV (Adverse effect, including toxicity); BIOL (Biological study) (poisoning; enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.)

IT 83-86-3, Inositol hexaphosphate 17211-15-3,
 myo-Inositol, hexakis(dihydrogen phosphate), dodecasodium salt
 RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical
 process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
 USES (Uses)

(enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.)

RN 83-86-3 HCAPLUS

CN myo-Inositol, hexakis(dihydrogen phosphate) (9CI) (CA INDEX NAME)

Relative stereochemistry.

RN 17211-15-3 HCAPLUS

CN myo-Inositol, hexakis(dihydrogen phosphate), dodecasodium salt (9CI) (CA INDEX NAME)

Relative stereochemistry.

#### ●12 Na

## IT 182055-89-6 182056-06-0

RL: MOA (Modifier or additive use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(enhanced oxygen delivery in mammals comprising a cationic, lipophilic, water-soluble mol. and anionic ligand for a cellular receptor.)

RN 182055-89-6 HCAPLUS

CN Cholest-5-en-3-ol  $(3\beta)$ -, [4-[(aminoiminomethyl)amino]butyl][3-[(aminoiminomethyl)amino]propyl]carbamate (9CI) (CA INDEX NAME)

RN 182056-06-0 HCAPLUS

CN Cholest-5-en-3-ol  $(3\beta)$ +, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate (9CI) (CA INDEX NAME)

Absolute stereochemistry.

PAGE 1-B

## CHMe2

L34 ANSWER 5 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN

AN 1999:449397 HCAPLUS

DN 131:106801

ED Entered STN: 22 Jul 1999

TI Stabilization of lipid:DNA formulations during nebulization for gene-therapy transfection

IN Densmore, Charles L.; Knight, J. Vernon; Waldrep, J. Clifford; Kinsey, Berma M.

PA Research Development Foundation, USA

SO PCT Int. Appl., 31 pp. CODEN: PIXXD2

DT Patent

LA English

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ICM A61K048-00
TC.
     ICS C12N015-00; C12N005-00
     63-6 (Pharmaceuticals)
     Section cross-reference(s): 3
FAN.CNT 2
     PATENT NO.
                       KIND DATE
                                             APPLICATION NO.
                                                                DATE
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     WO 9934837
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                      GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI,
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                        A
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                                                                19990108
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     AU 751969
                        В2
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PRAI US 1998-71052P
                        Р
                              19980108
     WO 1999-US488
                        W
                              19990108
     The present invention provides a liposomal aerosol composition, comprising a
AB
     pharmaceutical compound, a cationic lipid, (c) a neutral co-lipid; and (d)
     tryptone. Also provided is a nebulized cationic lipid: DNA suspension
     useful for lipid-DNA transfections, wherein said cationic lipid is.
     bis (guanidinium) -tren-cholesterol.
ST
     gene therapy transfection lipid DNA formulation
IT
     Peptones
     RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
     use); BIOL (Biological study); PROC (Process); USES (Uses)
        (Tryptones; stabilization of lipid: DNA formulations during nebulization
        for gene-therapy transfection)
IΤ
     Lipids, biological studies
     RL: PEP (Physical, engineering or chemical process); PRP (Properties); THU
     (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)
        (cationic; stabilization of lipid: DNA formulations during nebulization
        for gene-therapy transfection)
ፐጥ
     Drug delivery systems
        (liposomes; stabilization of lipid:DNA formulations during nebulization
        for gene-therapy transfection)
IΤ
     Promoter (genetic element)
     RL: PEP (Physical, engineering or chemical process); PROC (Process)
        (of cytomegalovirus; stabilization of lipid:DNA formulations during
        nebulization for gene-therapy transfection)
ΙT
     Cytomegalovirus
        (promoter of; stabilization of lipid: DNA formulations during
        nebulization for gene-therapy transfection)
IT
     Phosphatidylcholines, biological studies
     RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical
     process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
     USES (Uses)
        (soya, hydrogenated; stabilization of lipid: DNA formulations during
        nebulization for gene-therapy transfection)
ΙT
     Drug delivery systems
       (sprays; stabilization of lipid:DNA formulations during nebulization
        for gene-therapy transfection)
```

```
IΤ
     Animal tissue culture
      Gene therapy
      Genetic vectors
      Plasmid vectors
      Stabilizing agents
         (stabilization of lipid: DNA formulations during nebulization for
         gene-therapy transfection)
      Phosphatidylcholines, biological studies
 ፐጥ
      RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical
      process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
      USES (Uses)
         (stabilization of lipid:DNA formulations during nebulization for
         gene-therapy transfection)
 ΙT
      RL: PEP (Physical, engineering or chemical process); THU (Therapeutic
      use); BIOL (Biological study); PROC (Process); USES (Uses)
         (stabilization of lipid:DNA formulations during nebulization for
         gene-therapy transfection)
 ΙT
      Escherichia coli
         (B-galactosidase reporter gene of; stabilization of lipid:DNA
         formulations during nebulization for gene-therapy transfection)
 IT
      RL: PEP (Physical, engineering or chemical process); PROC (Process)
         (\beta-galactosidase, of E. coli; stabilization of lipid:DNA
         formulations during nebulization for gene-therapy transfection)
 ΙT
      9031-11-2, β-Galactosidase
      RL: BSU (Biological study, unclassified); BIOL (Biological study)
         (reporter gene encoding, of E. coli; stabilization of lipid: DNA
         formulations during nebulization for gene-therapy transfection)
      2462-63-7, Dioleoylphosphatidylethanolamine 2644-64-6,
TΤ
                                      18656-38-7, Dimyristoylphosphatidylcholin
      Dipalmitoylphosphatidylcholine
          18656-40-1, Dilauroylphosphatidylcholine 182056-06-0
      RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical
      process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
      USES (Uses)
         (stabilization of lipid: DNA formulations during nebulization for
         gene-therapy transfection)
 ΙT
      230949-32-3
      RL: PEP (Physical, engineering or chemical process); PROC (Process)
         (stabilization of lipid: DNA formulations during nebulization for
         gene-therapy transfection)
 RE.CNT
               THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD
RE
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 (2) Debs; US 5641662 A 1997 HCAPLUS
 (3) Legros; US 5211955 A 1993 HCAPLUS
 (4) Nantz; US 5527928 A 1996 HCAPLUS
 (5) Oudrhiri; Pro Natl Acad Sci USA 1997, V94, P1651 HCAPLUS
 TT
      182056-06-0
      RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical
      process); THU (Therapeutic use); BIOL (Biological study); PROC (Process);
      USES (Uses)
         (stabilization of lipid: DNA formulations during nebulization for
         gene-therapy transfection)
      182056-06-0 HCAPLUS
RN
      Cholest-5-en-3-ol (3\beta)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]ami
CN
      no]ethyl]carbamate (9CI) (CA INDEX NAME)
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PAGE 1-B

CHMe2

L34 ANSWER 6 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN

AN 1999:198667 HCAPLUS

DN 131:54460

ED Entered STN: 29 Mar 1999

TI Structural characteristics of supramolecular assemblies formed by quanidinium-cholesterol reagents for gene transfection

AU Pitard, Bruno; Oudrhiri, Noufissa; Vigneron, Jean-Pierre; Hauchecorne, Michelle; Aguerre, Olivier; Toury, Renee; Airiau, Marc; Ramasawmy, Rajen; Scherman, Daniel; Crouzet, Joel; Lehn, Jean-Marie; Lehn, Pierre

CS Unite Mixte de Recherche, 133 Rhone-Poulenc Rorer, Centre National de la Recherche Scientifique, Vitry-sur-Seine, 94403, Fr.

SO Proceedings of the National Academy of Sciences of the United States of America (1999), 96(6), 2621-2626 CODEN: PNASA6; ISSN: 0027-8424

PB National Academy of Sciences

DT Journal

LA English

CC 3-2 (Biochemical Genetics)
 Section cross-reference(s): 9

AR We have recently discovered that cationic cholesterol derivs. characterized by guanidinium polar headgroups are very efficient for gene transfection in vitro and in vivo. In spite of being based on some rationale at the mol. level, the development of these new synthetic vectors was nevertheless empirical. Indeed, the factors and processes underlying cationic lipid-mediated gene transfer are still poorly understood. Thus, to get a better insight into the mechanisms involved, we have examined the supramol. structure of lipid/DNA aggregates obtained when using reagent bis(guanidinium)-tren-cholesterol (BGTC), either alone or as a liposomal formulation with the neutral phospholipid dioleoyl phosphatidylethanolamine (DOPE). We here report the results of cryotransmission electron microscopy studies and small-angle x-ray scattering expts., indicating the presence\_of multilamellar domains with a regular spacing of 70 Å and 68 Å in BGTC/DOPE-DNA and BGTC-DNA aggregates, resp. In addition, DNA lipoplexes with similar lamellar patterns were d tected inside transfected HeLa cells by conv ntional transmission electron microscopy. Thes results suggest that DNA condensation by multivalent guanidinium-cholesterol cationic lipids involves the formation of highly ordered multilamellar domains, the DNA mols. being intercalated between the lipid bilayers. These results also invite further

investigation of the intracellular fate of the internaliz d lipid/DNA structures during their trafficking toward the cell nucleus. The identification of the basic features of active complexes should indeed help in the design of improved guanidinium-based vectors. supramol assembly structure guanidinium cholesterol reagent transfection ST IT HeLa cell (DNA lipoplexes with lamellar patterns detected inside transfected HeLa cells; structural characteristics of supramol. assemblies formed by guanidinium-cholesterol reagents for gene transfection) IT DNA RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); PRP (Properties); BIOL (Biological study) (condensation, by guanidinium-cholesterol cationic lipids involves formation of highly ordered multilamellar domains; structural characteristics of supramol. assemblies formed by guanidiniumcholesterol reagents for gene transfection) TT Drug delivery systems (liposomes, structure of lipid/DNA aggregates in; structural characteristics of supramol. assemblies formed by guanidiniumcholesterol reagents for gene transfection) TΤ 2462-63-7, Dioleoyl phosphatidylethanolamine RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); BIOL (Biological study) (bis(guanidinium)-tren-cholesterol with, in liposome; structural characteristics of supramol. assemblies formed by guanidiniumcholesterol reagents for gene transfection) 182056-06-0 RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); BIOL (Biological study) (structural characteristics of supramol. assemblies formed by quanidinium-cholesterol reagents for gene transfection) RE.CNT 25 THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD RE (1) Demeneix, B; Artificial Systems for Gene Delivery 1996, P146 HCAPLUS (2) Farhood, H; Biochim Biophys Acta 1995, V1235, P289 HCAPLUS (3) Felgner, P; Nature (London) 1989, V337, P387 MEDLINE (4) Gao, X; Biochem Biophys Res Commun 1991, V179, P280 HCAPLUS (5) Gershon, H; Biochemistry 1993, V32, P7143 HCAPLUS (6) Gustafsson, J; Biochim Biophys Acta 1995, V1235, P305 HCAPLUS (7) Koltover, I; Science 1998, V281, P78 HCAPLUS (8) Labat-Moleur, F; Gene Ther 1996, V3, P1010 HCAPLUS (9) Lasic, D; J Am Chem Soc 1997, V119, P832 HCAPLUS (10) Lehn, P; Adv Drug Delivery Rev 1998, V30, P5 HCAPLUS (11) Litzinger, D; Biochim Biophys Acta 1992, V1113, P201 HCAPLUS (12) Miller, A; Angew Chem Int Ed Engl 1998, V37, P1769 HCAPLUS (13) Oudrhiri, N; Biog Amines 1998, V14, P537 HCAPLUS (14) Oudrhiri, N; Proc Natl Acad Sci USA 1997, V94, P1651 HCAPLUS (15) Pitard, B; Proc Natl Acad Sci USA 1997, V94, P14412 HCAPLUS (16) Radler, J; Science 1997, V275, P810 MEDLINE (17) Soubrier, F; WO 9710343 1997 HCAPLUS (18) Sternberg, B; FEBS Lett 1994, V356, P361 HCAPLUS (19) Templeton, N; Nat Biotechnol 1997, V15, P647 HCAPLUS (20) Vigneron, J; Proc Natl Acad Sci USA 1996, V93, P9682 HCAPLUS (21) Vinson, P; Biophys J 1989, V56, P669 HCAPLUS (22) Wrobel, I; Biochim Biophys Acta 1995, V1235, P296 HCAPLUS (23) Xu, Y; Biochemistry 1996, V35, P5616 HCAPLUS (24) Zabner, J; J Biol Chem 1995, V270, P18997 HCAPLUS (25) Zhou, X; Biochim Biophys Acta 1994, V1189, P195 HCAPLUS 182056-06-0 IΤ

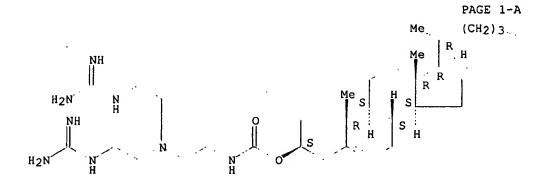
study, unclassified); BIOL (Biological study)
(structural characteristics of supramol. assemblies formed by
guanidinium-cholesterol reagents for gene transfection)

RL: BAC (Biological activity or effector, xcept adverse); BSU (Biological

RN 182056-06-0 HCAPLUS

CN Cholest-5-en-3-ol (3β)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate (9CI) (CA INDEX NAME)

Absolute stereochemistry.



PAGE 1-B

CHMe2

L34 ANSWER 7 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN

AN 1998:687119 HCAPLUS

DN 130:57047

ED Entered STN: 30 Oct 1998

TI Guanidinium-cholesterol cationic lipids: novel reagents for gene transfection and perspectives for gene therapy

AU Oudrhiri, N.; Vigneron, J. P.; Hauchecorne, M.; Toury, R.; Lemoine, A. I.; Peuchmaur, M.; Navarro, J.; Lehn, J. M.; Lehn, P.

CS Hopital Robert Debre, INSERM U. 458, Paris, 75019, Fr.

SO Biogenic Amines (1998), 14(5), 537-552

CODEN: BIAME7; ISSN: 0168-8561

PB VSP BV

DT Journal; General Review

LA English

CC 63-0 (Pharmaceuticals)

Section cross-reference(s): 1, 3

A review with refs. Artificial self-assembling systems are at present AR widely investigated as an alternative approach to recombinant viruses for gene transfer studies and gene therapy applications. Among these synthetic vectors, cationic lipids are particularly attractive as it is possible to design and synthesize a great variety of reagents. Several amine-carrying cationic lipids have been shown to be efficient for gene transfection; moreover, some reagents (DC-Chol:DOPE, DOTAP...) have even already been used in clin. trials. Over the last years, we have developed a novel class of cationic lipids : cholesterol derivs. characterized by polar head groups containing guanidinium functions. Such reagents combine the membrane compatible features of the cholesterol subunit and the favorable features of the guanidinium groups for DNA binding. We herein intend to summarize our work showing that these novel cationic lipids are efficient for gene transfection in vitro (into various mammalian cell lines and primary human airway c lls) and also in vivo (into the mouse airway epithelium). These studies confirm the potential of cationic lipids for human gene therapy, namely lung-directed gene therapy for Cystic Fibrosis. Most importantly, our work also provides the basis for the design of

improved artificial gene delivery systems. Thus, in this forward-looking review, we will also discuss some of the remaining problems that need to be resolved in order to develop improved synthetic vectors for nonviral gene delivery.

ST review

Lipids, biological studies TT

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (cationic; guanidinium-cholesterol cationic lipids for gene transfection and perspectives for gene therapy)

ፐጥ Drug delivery systems

Gene therapy

Transformation, genetic

(guanidinium-cholesterol cationic lipids for gene transfection and perspectives for gene therapy)

ΙT 182056-06-0

> RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES

(quanidinium-cholesterol cationic lipids for gene transfection and perspectives for gene therapy)

THERE ARE 44 CITED REFERENCES AVAILABLE FOR THIS RECORD RE.CNT

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- (16) Felgner, P; Proc Natl Acad Sci USA 1987, V84, P7413 HCAPLUS
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- (18) Gao, W; Biochem Biophys Res Commun 1991, V179, P280
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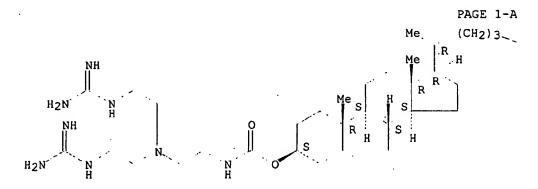
RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study); USES

(quanidinium-cholesterol cationic lipids for gene transfection and perspectives for gene therapy)

182056-06-0 HCAPLUS RN

Cholest-5-en-3-ol  $(3\beta)$ -, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate (9CI) (CA INDEX NAME) CN

Absolute stereochemistry.



PAGE 1-B

`CHMe2

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L34 ANSWER 8 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN
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- 1997:594745 HCAPLUS AN
- DN 127:234484
- ΕD Entered STN: 17 Sep 1997
- ΤI Preparation of compounds related to the amidinium family and their uses in gene therapy
- IN Lehn, Jean-Marie; Lehn, Pierre; Vigneron, Jean-Pierre
- Centre National de la Recherche Scientifique, Fr.; Lehn, Jean-Marie; Lehn, PA Pierre; Vigneron, Jean-Pierre
- SO PCT Int. Appl., 55 pp.

CODEN: PIXXD2

- DΤ Patent
- LA French
- IC · ICM C07J041-00

ICS A61K031-575; A61K009-127; C12N015-88

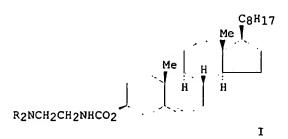
CC 32-7 (Steroids)

Section cross-reference(s): 1

FAN.CNT 1

KIND APPLICATION NO. DATE PATENT NO. DATE ----\_\_\_\_\_ -----PΙ WO 9731935 19970904 WO 1997-FR364 19970228 A1 W: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, GH, HU, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO,

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PRAI FR 1996-2604
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     FR 1996-9557
                         Α
                               19960730
     WO 1997-FR364
                         W
                               19970228
     US 1998-125825
                         A1
                               19980911
GI
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AB . Novel amidinium derivs. R2R3NCO2R1 [R1 = cholesterol derivative, alkylamino group; R2, R3 = H, {(CH2) nNR4}mR5; R4, R5 = H, (CH2)p(X)r((CH2)qC(NH2):N+H2)x] are disclosed. Amidine salt I·2HCl [R = (CH2)2NHC(NH2):NH] was prepd, from cholesterol chloroformate via sequential addition of tris(2-aminoethyl)amine and 1H-pyrazole-1-carboximidine. I is useful in gene therapy for transferring therapeutic genes into cells as shown by the expression of luciferase in human A549 cells (4x105 RLU/mg), in monkey COS-7 cells (2.1x107 RLU/mg), in dog MDCK-1 cells (3x106 RLU/mg) and in rat ROS cells (4x106 RLU/mg).

prepn gene therapy

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation)

(cationic; preparation of compds. related to the amidinium family and their uses in gene therapy)

IT Gene therapy

IT

(preparation of compds. related to the amidinium family and their uses in

```
gene therapy)
TT
     Steroids, preparation
     RL: BAC (Biological activity or effector, except adverse); BSU (Biological
     study, unclassified); RCT (Reactant); SPN (Synthetic preparation); BIOL
     (Biological study); PREP (Preparation); RACT (Reactant or reagent)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
IT
     Liposomes
     Micelles
     RL: BSU (Biological study, unclassified); BIOL (Biological study)
       (preparation of compds. related to the amidinium family and their uses in
        gene therapy in)
IT
     DNA
     Plasmids
     RNA
     RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy with)
ΤŢ
     182056-12-8P 182056-15-1P
     RL: BAC (Biological activity or effector, except adverse); BSU (Biological
     study, unclassified); RCT (Reactant); SPN (Synthetic preparation); BIOL
     (Biological study); PREP (Preparation); RACT (Reactant or reagent)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
     9014-00-0, Luciferase
TΤ
     RL: BSU (Biological study, unclassified); BIOL (Biological study)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
     111-94-4, Iminobis (propionitrile)
ΤT
                                         2462-63-7,
     Dioleoylphosphatidylethanolamine
                                         4023-02-3, 1H-Pyrazole-1-carboxamidine
                                       7144-08-3, Cholesterol chloroformate
                    4097-89-6, TREN
     hydrochloride
     83392-10-3, N1, N8-Di (tert-butoxycarbonyl) spermidine
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
IT
     179075-25-3P
                    195253-95-3P
                                   195253-96-4P
                                                  195253-97-5P
                                                                  195253-98-6P
     195253-99-7P
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
IΤ
     195254-00-3P
     RL: SPN (Synthetic preparation); PREP (Preparation)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
IT
     182056-12-8P 182056-15-1P
     RL: BAC (Biological activity or effector, except adverse); BSU (Biological
     study, unclassified); RCT (Reactant); SPN (Synthetic preparation); BIOL
     (Biological study); PREP (Preparation); RACT (Reactant or reagent)
        (preparation of compds. related to the amidinium family and their uses in
        gene therapy)
     182056-12-8 HCAPLUS
RN
     Cholest-5-en-3-ol (3\beta)-, [4-[(aminoiminomethyl)amino]butyl][3-
     [(aminoiminomethyl)amino]propyl]carbamate, dihydrochloride (9CI)
                                                                        (CA
     INDEX NAME)
```

● 2 HCl

RN 182056-15-1 HCAPLUS

CN Cholest-5-en-3-ol  $(3\beta)$ -, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate, dihydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.

●2 HC1

PAGE 1-B

CHMe2

L34 ANSWER 9 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN

AN 1997:172669 HCAPLUS

DN 126:258428

ED Entered STN: 14 Mar 1997

TI Gene transfer by guanidinium-cholesterol cationic lipids into airway

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epithelial cells in vitro and in vivo
     Oudrhiri, Noufissa; Vigneron, Jean-Pierre; Peuchmaur, Michel; Leclerc,
ΑU
     Tony; Lehn, Jean-Maire; Lehn, Pierre
     Inst. Natl. Sante Recherche Medicale, Hopital Robert Debre, Paris, 75019,
CS
     Proceedings of the National Academy of Sciences of the United States of
SO
    America (1997), 94(5), 1651-1656
    CODEN: PNASA6; ISSN: 0027-8424
PB
    National Academy of Sciences
DT
     Journal
LA
    English
     1-2 (Pharmacology)
     Section cross-reference(s): 63
     Synthetic vectors represent an attractive alternative approach to viral
AB
    vectors for gene transfer, in particular into airway epithelial cells for
     lung-directed gene therapy for cystic fibrosis. Having recently found
     that guanidinium-cholesterol cationic lipids re efficient reagents for
     gene transfer into mammalian cell lines in vitro, the authors have
     investigated their use for gene delivery into primary airway epithelial
     cells in vitro and in vivo. The results obtained indicate that the lipid
    bis(guanidinium)-tren-cholesterol (BGTC) can be used to transfer a
     reporter gene into primary human airway epithelial cells in culture.
     Furthermore, liposomes composed of BGTC and dioleoyl
    phosphatidylethanolamine (DOPE) are efficient for gene delivery to the
    mouse airway epithelium in vivo. Transfected cells were detected both in
    the surface epithelium and in submucosal glands. In addition, the
     transfection efficiency of BGTC/DOPE liposomes in vitro was quant.
     assessed by using the luciferase reporter gene system.
     gene transfer cationic lipid airway epithelium
ST
ΙT
    Respiratory tract
        (epithelium; gene transfer by guanidinium-cholesterol cationic lipids
       in liposomes into human and laboratory animal airway epithelial cells in
       vitro and in vivo)
IT
    Gene therapy
    Genetic vectors
    Transduction, genetic
        (gene transfer by guanidinium-cholesterol cationic lipids in liposomes
       into human and laboratory animal airway epithelial cells in vitro and in
ΙT
    Drug delivery systems
        (liposomes; gene transfer by guanidinium-cholesterol cationic lipids in
       liposomes into human and laboratory animal airway epithelial cells in vitro
       and in vivo)
ΙT
    182056-06-0
    RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (gene transfer by guanidinium-cholesterol cationic lipids in liposomes
       into airway epithelial cells in vitro and in vivo)
ΙT
     4004-05-1, Dioleoyl phosphatidylethanolamine
    RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (gene transfer by guanidinium-cholesterol cationic lipids in liposomes
       into human and laboratory animal airway epithelial cells in vitro and in
       vivo)
ΙT
    182056-06-0
    RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
        (gene transfer by quanidinium-cholesterol cationic lipids in liposomes
```

into airway epithelial cells\_in\_vitro and in vivo)

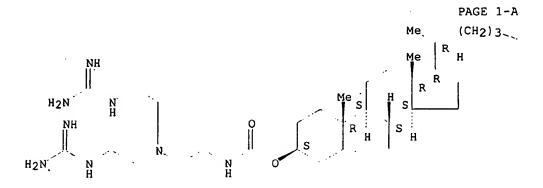
Cholest-5-en-3-ol (3 $\beta$ )-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate (9CI) (CA INDEX NAME)

Absolut stereoch mistry.

182056-06-0 HCAPLUS

RN

CN



PAGE 1-B

CHMe2

L34 ANSWER 10 OF 10 HCAPLUS COPYRIGHT 2003 ACS on STN

AN 1996:553205 HCAPLUS

DN 125:239451

ED Entered STN: 17 Sep 1996

TI Guanidinium-cholesterol cationic lipids: efficient vectors for the transfection of eukaryotic cells

AU Vigneron, Jean-Pierre; Oudrhiri, Noufissa; Fauquet, Mireille; Vergely, Laurence; Bradly, Jean-Claude; Basseville, Monique; Lehn, Pierre; Lehn, Jean-Marie

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SO Proceedings of the National Academy of Sciences of the United States of America (1996), 93(18), 9682-9686
CODEN: PNASA6; ISSN: 0027-8424

PB National Academy of Sciences

DT Journal

LA English

CC 3-1 (Biochemical Genetics)
Section cross-reference(s): 6

Two cationic lipids, bis-guanidinium-spermidine-cholesterol (BGSC) and bis-guanidinium-tren-cholesterol (BGTC)-cholesterol derivs. bearing two guanidinium groups-have been synthesized and tested as artificial vectors for gene transfer. They combine the membrane compatible features of the cholesterol subunit and the favorable structural and high pKa features of the guanidinium functions for binding DNA via its phosphate groups. Reagent BGTC is very efficient for transfection into a variety of mammalian cell lines when used as a micellar solution. In addition, both BGTC and BGSC present also a high transfection activity when formulated as liposomes with the neutral phospholipid dioleoylphosphatidyl ethanolamine. These results reveal the usefulness of cholesterol derivs. bearing guanidinium groups for gene transfer.

ST guanidinium cholesterol genetic vector transformation eukaryote

IT Eukaryote

Genetic vectors

Liposome

Transformation, genetic

(guanidinium-cholesterol cationic lipids as efficient vectors for the transfection of eukaryotic cells)

IT 57-88-5D, Cholesterol, guanidinium derivs.

RL: BPR (Biological process); BSU (Biological study, unclassifi d); BUU (Biological use, unclassified); PRP (Properties); BIOL (Biological study); PROC (Process); USES (Uses)

(guanidinium-cholesterol cationic lipids as efficient vectors for the transfection of eukaryotic cells)

IT 2462-63-7

RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)

(guanidinium-cholesterol'cationic lipids as efficient vectors for the transfection of eukaryotic cells)

IT 182055-89-6P 182056-06-0P 182056-12-8P

182056-15-1P

RL: BUU (Biological use, unclassified); PRP (Properties); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation); USES (Uses) (guanidinium-cholesterol cationic lipids as efficient vectors for the transfection of eukaryotic cells)

IT 4023-02-3 4097-89-6 7144-08-3 83392-10-3

RL: RCT (Reactant); RACT (Reactant or reagent)

(guanidinium-cholesterol cationic lipids as efficient vectors for the transfection of eukaryotic cells)

IT 182055-89-6P 182056-06-0P 182056-12-8P

182056-15-1P

RL: BUU (Biological use, unclassified); PRP (Properties); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation); USES (Uses) (guanidinium-cholesterol cationic lipids as efficient vectors for the transfection of eukaryotic cells)

RN 182055-89-6 HCAPLUS

CN Cholest-5-en-3-ol (3β)-, [4-[(aminoiminomethyl)amino]butyl][3-[(aminoiminomethyl)amino]propyl]carbamate (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 182056-06-0 HCAPLUS

CN Cholest-5-en-3-ol (3β)-, [2-{bis[2-{(aminoiminomethyl)amino}ethyl}amino}ethyl]amino}ethyl]carbamate (9CI) (CA INDEX NAME)

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CHMe2

RN 182056-12-8 HCAPLUS

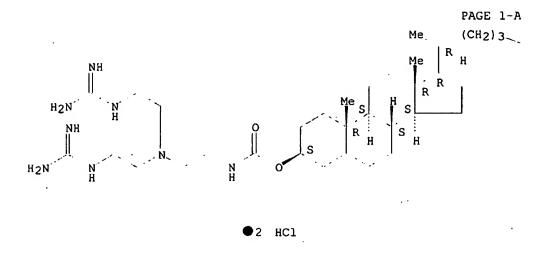
CN Cholest-5-en-3-ol (3β)-, [4-[(aminoiminomethyl)amino]butyl][3 [(aminoiminomethyl)amino]propyl]carbamate, dihydrochloride (9CI) (CA
 INDEX NAME)

Absolute stereochemistry.

●2 HC1

RN 182056-15-1 HCAPLUS

CN Cholest-5-en-3-ol (3β)-, [2-[bis[2-[(aminoiminomethyl)amino]ethyl]amino]ethyl]carbamate, dihydrochloride (9CI) (CA INDEX NAME)



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` CHMe2

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